



IAP15 Rec'd PCT/PTO 30 NOV 2006

PATENT  
0546-1082

IN THE U.S. PATENT AND TRADEMARK OFFICE

In re application of

Steve HEALD et al.

Conf. 4057

Application No. 10/550,945

MAIL STOP PCT

Attn: PCT Legal Office

Filed September 28, 2005

PREPARATION OF VANILLIN FROM MICROBIAL  
TRANSFORMATION MEDIA BY EXTRACTION BY  
MEANS SUPERCRITICAL FLUIDS OR GASES

PETITION FOR EXTENSION OF TIME

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

November 30, 2006

Sir:

The undersigned hereby petitions for an extension of time to respond to the Official Action of July 31, 2006 for two months to November 30, 2006.

Please charge the extension fee of \$225 to Deposit Account No. 25-0120. If this fee is insufficient, the Patent Office is hereby authorized to charge any additional extension fee to Deposit Account No. 25-0120. A duplicate copy of this sheet is enclosed.

A responsive paper is filed herewith.

Respectfully submitted,

12/04/2006 ATRAN1 00000032 250120 10550945

01 FC:2252 225.00 DA

YOUNG & THOMPSON

*Benoît Castel*

Benoît Castel, Reg. No. 35,041  
745 South 23<sup>rd</sup> Street  
Arlington, VA 22202  
Telephone (703) 521-2297  
Telefax (703) 685-0573  
(703) 979-4709

BC/fb



PATENT  
0546-1082

IN THE U.S. PATENT AND TRADEMARK OFFICE

In re application of

Steve HEALD et al.

Conf. 4057

Application No. 10/550,945

MAIL STOP PCT

Attn: PCT Legal Office

Filed September 28, 2005

PREPARATION OF VANILLIN FROM MICROBIAL  
TRANSFORMATION MEDIA BY EXTRACTION BY  
MEANS SUPERCRITICAL FLUIDS OR GASES

PETITION UNDER 37 CFR \$1.47(a)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

November 30, 2006

Sir:

It is respectfully requested that the above-identified application be accepted under the provisions of 37 CFR \$1.47(a) even though the first three inventors, namely Steve HEALD, Steve MYERS, and Tim WALFORD, have refused to execute the application papers.

In response to the accompanying Notification of Missing Requirements Under 35 USC 371 in the United States Designated/Elected Office, there is submitted herewith:

1) a 37 CFR \$1.497 Combined Declaration and Power of

Attorney listing all five inventors, but signed by the fourth and

12/04/2006 ATRAM1 00000032 250120 10550945

02 FC:1463 200.00 DA

fifth inventors, namely Keith ROBINS and Colin HILL, on their behalf, as well as on behalf of the three non-signing inventors;

2) an assignment from each of the signing inventors on behalf of SAF-ISIS, of Z.A. - 40140 Souston - France, along with Form PTO-1595 for recordation;

3) a declaration of Ms. Lorette SAINT-CRICQ, the chief administrator of the French law firm Cabinet Philippe Kohn. In her declaration, Ms. Saint-Cricq declares that on September 25, 2005, SAF-ISIS acquired the rights to International patent application PCT/GB2004-001394 from Zylepsis, via an official liquidating agent. Mr. René Misrahi, a representative of the current assignee SAF-ISIS, requested Cabinet Philippe Kohn to enter the national phase in the USA. Cabinet Philippe Kohn requested the U.S. firm of Young & Thompson to enter the national phase in the USA. Ms. Saint-Cricq states that she prepared all the necessary documents to be signed by the inventors and sent them to Mr. Misrahi for transmitting them to the inventors. She then received from Mr. Misrahi a declaration duly executed by the signing inventors, namely Keith ROBINS and Mr. Colin HILL. The declarations which were to be signed by the other three inventors were never received by Mr. Misrahi.

In June of 2006 pursuant to instructions from the undersigned attorney, Ms. Saint-Cricq declares that she sent by registered mail to each of the non-signing inventors at their respective last known address the following documents:

a complete copy of the text and drawings filed in the United States;

a Combined Declaration and Power of Attorney;

an assignment; and

a letter requesting each of the inventors to execute the application papers and return them to her office within 21 days after receipt, while advising them that failure to do so would be considered as a definite refusal to join in the application.

Accompanying Ms. Saing-Cricq's declaration are the copies of the registered letters sent to each of the non-signing inventors at their respective last known addresses, as well as the registered mail receipts, in French and an English translation thereof. Thus, the last known address of each of the non-signing inventors is as follows: for Mr. Steve HEALD, 19 Harcourt Drive, Canterbury CT2 8DP, United Kingdom; for Mr. Steve MYERS, 18 Christchurch, Ashford, Kent TN327XB, United Kingdom; and for Mr. Tim WALFORD, 35 Parkway, Dorking, Surrey, United Kingdom.

In July 2006 Ms. Saint-Cricq declares that she received a telephone call from one of the non-signing inventors, namely Mr. MYERS, who informed her that he was speaking as well on behalf of Mr. HEALD who was present next to him. These two inventors had several questions regarding the documents, but in

essence made it clear that they both refused to execute the application papers and return the same to Ms. Saint-Cricq.

Having not heard from the fifth inventor, Mr. Tim WALFORD, and since she did not receive any acknowledgement of receipt from the post office, in July of 2006, Ms. Saint-Cricq began an investigation with the French post office to determine if the registered mail sent to Mr. Tim WALFORD had in fact been received by Mr. WALFORD. The French post office informed Ms. Saint-Cricq in a letter dated July 20, 2006, that the results of the investigation would be communicated to her by October 2006. A copy of such letter in French and an English translation thereof accompany Ms. Saint-Cricq's declaration.

On October 20, 2006 Ms. Saint-Cricq received a letter (copy enclosed, as well as English translation thereof) from the French post office, informing her that the correspondence she had sent by registered mail to Mr. Tim WALFORD in June of 2006 was indeed received and delivered on June 21, 2006 by Mr. WALFORD. Mr. Saint-Cricq concludes that since to date she has yet to receive any response to her registered letter of June 16, 2006, it is clear that Mr. WALFORD also refuses to execute the application papers.

In view of the above facts, and accompanying documents, it is respectfully requested that the above-identified application be accepted under the provisions of 37 CFR §1.47(a),



Docket No. 0546-1082  
Appln. No. 10/550,945

COPY FOR DEPOSIT  
ACCOUNT PURPOSES

even though inventors Steve HEALD, Steve MYERS, and Tim WALFORD, have refused to execute the application papers.

The Commissioner is hereby authorized to charge the requisite 37 CFR §1.17(g) fee of \$200 under fee code 1463 to counsel's Deposit Account No. 25-0120.

Respectfully submitted,

YOUNG & THOMPSON

---

Benoît Castel, Reg. No. 35,041  
745 South 23<sup>rd</sup> Street  
Arlington, VA 22202  
Telephone (703) 521-2297  
Telefax (703) 685-0573  
(703) 979-4709

BC/fb

even though inventors Steve HEALD, Steve MYERS, and Tim WALFORD, have refused to execute the application papers.

The Commissioner is hereby authorized to charge the requisite 37 CFR \$1.17(g) fee of \$200 under fee code 1463 to counsel's Deposit Account No. 25-0120.

Respectfully submitted,

YOUNG & THOMPSON



---

Benoît Castel, Reg. No. 35,041  
745 South 23<sup>rd</sup> Street  
Arlington, VA 22202  
Telephone (703) 521-2297  
Telefax (703) 685-0573  
(703) 979-4709

BC/fb



## UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/550,945	Steve Heald	0546-1082

INTERNATIONAL APPLICATION NO.
-------------------------------

PCT/GB04/01394

I.A. FILING DATE	PRIORITY DATE
------------------	---------------

03/29/2004

03/28/2003

466  
YOUNG & THOMPSON  
745 SOUTH 23RD STREET  
2ND FLOOR  
ARLINGTON, VA 22202

AUG 2

CONFIRMATION NO. 4057

371 FORMALITIES LETTER



\*OC000000019808226\*

Date Mailed: 07/31/2006

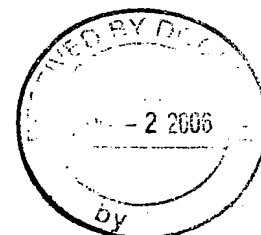
### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 09/28/2005
- Copy of the International Search Report filed on 09/28/2005
- Preliminary Amendments filed on 09/28/2005
- Information Disclosure Statements filed on 09/28/2005
- Small Entity Statement filed on 09/28/2005
- U.S. Basic National Fees filed on 09/28/2005
- Priority Documents filed on 09/28/2005
- Specification filed on 09/28/2005
- Claims filed on 09/28/2005
- Abstracts filed on 09/28/2005

DOCKETED

SEPTEMBER 30 2006



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).



Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/550,945	PCT/GB04/01394	0546-1082

FORM PCT/DO/EO/905 (371 Formalities Notice)

**COMBINED DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Preparation of vanillin from microbial transformation media by extraction by means supercritical fluids or gases**

the specification of which: (check one)

**REGULAR OR DESIGN APPLICATION**

- ☐ is attached hereto.
- ☐ was filed on \_\_\_\_\_ as application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable).

**PCT FILED APPLICATION ENTERING NATIONAL STAGE**

- ☒ was described and claimed in International application No. **PCT/GB2004/001394** filed on **March 29, 2004**  
and as amended on \_\_\_\_\_ (if any).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

**PRIORITY CLAIM**

I hereby claim foreign priority benefits under 35 USC 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

**PRIOR FOREIGN APPLICATION(S)**

Country	Application Number	Date of Filing (day, month, year)	Priority Claimed
<b>U.K.</b>	<b>0307232.9</b>	<b>28 March 2003</b>	<b>Yes</b>

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional patent application(s) listed below:

Application No.	Filing Date	Status (patented, pending abandoned)
-----------------	-------------	--------------------------------------

(Complete this part only if this is a continuing application.)

I hereby claim the benefit under 35 USC 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Filing Date	Status (patented, pending abandoned)
-----------------	-------------	--------------------------------------

**POWER OF ATTORNEY**

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from \_\_\_\_\_ as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

As a named inventor, I hereby appoint the registered patent attorneys represented by Customer No. **00466** to prosecute this application and transact all business in the Patent and Trademark Office connected therewith, including: **Robert J. PATCH, Reg. No. 17,355, Andrew J. PATCH, Reg. No. 32,925, Robert F. HARGEST, Reg. No. 25,590, Benoît CASTEL, Reg. No. 35,041, Thomas W. PERKINS, Reg. No. 33,027, Roland E. LONG, Jr., Reg. No. 41,949, Eric JENSEN, Reg. No. 37,855, and Liam MCDOWELL, Reg. No. 44,231,**

c/o YOUNG & THOMPSON  
Second Floor  
745 South 23<sup>rd</sup> Street  
Arlington, Virginia 22202

Customer Number

**00466**

Address all telephone calls to Young & Thompson at 703/521-2297. Telefax: 703/685-0573.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: **HEALD Steve**

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: **19 Harcourt Drive - Canterbury CT2 8DP - U.K.** Citizenship: **British**

Post Office Address: **19 Harcourt Drive - Canterbury CT2 8DP - U.K.**

Full name of second joint inventor, if any: **MYERS Steve**

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: **18 Christchurch - Ashford - Kent TN237XB - U.K.** Citizenship: **British**

Post Office Address: **18 Christchurch - Ashford - Kent TN237XB - U.K.**

Full name of third joint inventor, if any: **WALFORD Tim**

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: **35 Parkway - Dorking - Surrey - U.K.** Citizenship: **British**

Post Office Address: **35 Parkway - Dorking - Surrey - U.K.**

Full name of fourth joint inventor, if any: **ROBBINS Keith**

Inventor's signature: X *K. A. Robbins* Date: X *19 Oct 05*

Residence: **Brockham Lane - Brockham - Betchworth - Surrey RH3 7DA - U.K.** Citizenship: **British**

Post Office Address: **Brockham Lane - Brockham - Betchworth - Surrey RH3 7DA - U.K.**

Full name of fifth joint inventor, if any: HILL ColinInventor's signature: X  Date: X 21st December 2008Residence: 10 Myrtle Green - Ashford - Kent TN23 3QN - U.K. Citizenship: BritishPost Office Address: 10 Myrtle Green - Ashford - Kent TN23 3QN - U.K.

Full name of sixth joint inventor, if any: \_\_\_\_\_

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: \_\_\_\_\_ Citizenship: \_\_\_\_\_

Post Office Address: \_\_\_\_\_

Full name of seventh joint inventor, if any: \_\_\_\_\_

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: \_\_\_\_\_ Citizenship: \_\_\_\_\_

Post Office Address: \_\_\_\_\_

Full name of eighth joint inventor, if any: \_\_\_\_\_

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: \_\_\_\_\_ Citizenship: \_\_\_\_\_

Post Office Address: \_\_\_\_\_

Full name of ninth joint inventor, if any: \_\_\_\_\_

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: \_\_\_\_\_ Citizenship: \_\_\_\_\_

Post Office Address: \_\_\_\_\_

Form PTO-1595

**RECORDATION FORM COVER SHEET**  
**PATENTS ONLY**

U.S. Department of Commerce  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of Conveying Party(ies):

**Keith ROBBINS**  
**Colin HILL**

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: **SAF-ISIS**

Address: **Z.A.**  
**40140 Souston**  
**FRANCE**

3. Nature of Conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other: \_\_\_\_\_

Execution Date: **October 19, 2005;**  
**December 21, 2005**

Additional name(s) and address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

This document is being filed with a new application, the execution date of the application is:

A. Patent Application No(s).

**10/550,945**

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

**Customer No. 00466**  
**YOUNG & THOMPSON**  
**Second Floor**  
**745 South 23<sup>rd</sup> Street**  
**Arlington, VA 22202**  
**Telephone: 703-521-2297**  
**Telecopier: 703-685-0573**

6. Total number of applications and patents involved: **One (1)**

7. Total fee (37 C.F.R. §3.41) ..... **\$40.00**

☐ Enclosed  
☒ Charge to deposit account

8. Deposit Account No. **25-0120**

**DO NOT USE THIS SPACE**

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Benoît Castel, #35,041**

Name of Person Signing

  
Signature

**November 30, 2006**

Date

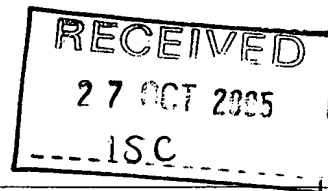
Total number of pages including cover sheet, attachments, and documents: **Three (3)**

12/04/2006 ATRAM1 00000032 250120 10550945

03 FC:8021

40.00 DA

## ASSIGNMENT



WHEREAS,

HEALD Steve

MYERS Steve

WALFORD Tim

ROBBINS Keith

HILL Colin

hereafter referred to as "applicant(s)", have invented certain new and useful improvements in

**PREPARATION OF VANILLIN FROM MICROBIAL TRANSFORMATION MEDIA BY  
EXTRACTION BY MEANS SUPERCRITICAL FLUIDS OR GASES**

- (a) for which an application for a United States patent was filed on  
Sept. 28, 2005, Application No. 10/550,945,
- (b) for which an application for a United States patent was executed on  
\_\_\_\_\_

WHEREAS, **SAF-ISIS**,

whose address is **Z.A. - 40140 Souston - France**,

hereinafter referred to as "assignee(s)", is desirous of acquiring the entire right, title and interest in and to the same,

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00), the receipt whereof is acknowledged, and other good and valuable consideration, applicant(s), by these presents, do(es) hereby sell, assign and transfer unto said assignee(s) the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all patents which may be granted therefore in the United States, for its sole use and behoof, and for the use and behoof of its legal representatives, to the full end of the term for which said patents may be granted, as fully and entirely as the same would have been held by applicant(s) had this assignment and sale not been made.

Applicant(s) hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue said United States patents to said assignee(s).

Date \_\_\_\_\_

(signature) **HEALD Steve**

Date \_\_\_\_\_

(signature) **MYERS Steve**

Date \_\_\_\_\_

(signature) **WALFORD Tim**

X Date 19 Oct 05

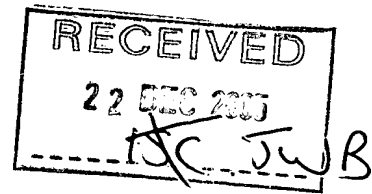
X *K. C. Robbins*

(signature) **ROBBINS Keith**

Date \_\_\_\_\_

(signature) **HILL Colin**

## **ASSIGNMENT**



WHEREAS,

HEALD Steve

MYERS Steve

WALFORD Tim

ROBBINS Keith

HILL Colin

hereafter referred to as "applicant(s)", have invented certain new and useful improvements in

**PREPARATION OF VANILLIN FROM MICROBIAL TRANSFORMATION MEDIA BY  
EXTRACTION BY MEANS SUPERCRITICAL FLUIDS OR GASES**

- (a) for which an application for a United States patent was filed on  
Sept. 28, 2005, Application No. 10/550,945,
- (b) for which an application for a United States patent was executed on  
\_\_\_\_\_

WHEREAS, **SAF-ISIS**,

whose address is **Z.A. - 40140 Souston - France**,

hereinafter referred to as "assignee(s)", is desirous of acquiring the entire right, title and interest in and to the same,

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00), the receipt whereof is acknowledged, and other good and valuable consideration, applicant(s), by these presents, do(es) hereby sell, assign and transfer unto said assignee(s) the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all patents which may be granted therefore in the United States, for its sole use and behoof, and for the use and behoof of its legal representatives, to the full end of the term for which said patents may be granted, as fully and entirely as the same would have been held by applicant(s) had this assignment and sale not been made.

Applicant(s) hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue said United States patents to said assignee(s).

Date \_\_\_\_\_

\_\_\_\_\_  
(signature) **HEALD Steve**

Date \_\_\_\_\_

\_\_\_\_\_  
(signature) **MYERS Steve**

Date \_\_\_\_\_

\_\_\_\_\_  
(signature) **WALFORD Tim**

Date \_\_\_\_\_

\_\_\_\_\_  
(signature) **ROBBINS Keith**

X Date 21st December 2005

X \_\_\_\_\_  
(signature) **HILL Colin**

## AFFIDAVIT

I, Lorette SAINT-CRICQ, hereby declare that I am a French citizen. I am employed by the French law firm, CABINET PHILIPPE KOHN, in the capacity of Chief of Administrative Department, and have held that position since 1995.

On September 2005, SAF-ISIS acquired International Patent Application PCT/GB2004/001394 from ZYLEPSIS (owner of the application) by the intermediary of the official liquidator.

CABINET PHILIPPE KOHN was requested by René MISRAHI (representative of SAF-ISIS in France) to enter into national phase in the U.S.A. and regional phase in Europe for this International Patent Application. CABINET PHILIPPE KOHN requested YOUNG & THOMPSON (U.S. Patent Attorney) to enter into national phase in the U.S.A..

As for all patent applications to be filed in the U.S.A., I prepared all the necessary documents to be signed by the inventors and sent them to René MISRAHI for transmitting them to the inventors.

I have received then from René Misrahi two declarations and assignments signed by Mr. Robbins on October 2005 and Mr. Hill on December 2005. The documents to be signed by the other three inventors were not received.

Consequently, in June 2006, Mr. Philippe KOHN asked me to do the necessary in order to try to obtain the signature of the inventors.

On June 16, 2006 I have sent by Registered Mail to each of the non-signing inventors at their respective last know address the following documents :

- a copy of the text and drawings filed in the United States
- a combined declaration, power of attorney
- an assignment
- a letter requesting them to sign the declaration and the assignment and to return them to our office at the latest three weeks after receipt advising them that failure to do so would be considered a definitive refusal to execute the application papers.

On July 2006, I received a telephone call from Mr. Myers who informed me that he was speaking in his name and in the name of Mr. Heald present next to him. They asked some information about the documents. Since that date I have received no further news from these two inventors and they did not return any document signed. I understand that they do not want to sign.

Since I had no news from the fifth inventor, Mr. Walford and since I had not received the acknowledgements of receipt in return, I requested on July 11, 2006 investigations from the French Post Office in order to determine if the mail was duly received by this inventor. The Post Office informed me by a letter that the results of the investigations will be communicated at the latest on October 18, 2006.

On October 20, 2006 I received a letter informing that the mail to Mr. Tim Walford had been delivered on June 21, 2006.



Since Mr. Walford duly received my letter of June 16, 2006 and has to date never responded, it is clear that he too refuses to execute the application papers.

And I further declare that all statements made herein of my own knowledge are true and that all statements made of information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issuing thereon.

Lorette SAINT-CRICQ  
Chief of Administrative Dept.  
CABINET PHILIPPE KOHN  
30 rue Hoche  
93500 Pantin  
France

A handwritten signature in black ink, appearing to read 'Lorette', with a long, sweeping horizontal line extending from the bottom of the signature.

C A B I N E T  
**Philippe KOHN**  
Conseils en Propriété Industrielle  
Brevets, Marques, Dessins & Modèles

30, Rue Hoche  
F-93500 PANTIN

☎ : 33 01 41 71 00 10  
☎ : 33 01 41 71 01 17  
✉ : kohn@compuserve.com

SELU de Conseils en Propriété Industrielle au capital de 40.000 Euro - APE 741A - RCS BOBIGNY B 402955058  
SIRET 402 955 058 00027 - Banque : Société Générale Paris- Cpte n°30003-03451-00020060259-42 - TVA FR67402955058

**BY REGISTERED MAIL**

Pantin, June 16, 2006

**Mr. HEALD Steve**  
19 Harcourt Drive  
Canterbury CT2 8DP  
United-Kingdom

**O. REF. : B-1550-US - PK/LSC**

**Subject :** National phase in U.S.A. of PCT/GB2004/001394

Dear Sir,

We are the representatives of SAF-ISIS, a French company who has acquired the above-mentioned patent application from ZYLEPSIS and who has decided to enter into national phase in the U.S.A.

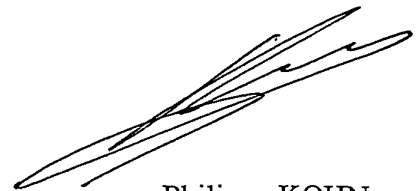
You are one of the inventors designated in this patent application. We have already obtained the signature of Mr. Robbins and Mr. Hill.

We would appreciate your dating and signing the attached declaration and assignment and then returning them to our office in order to have these documents filed with the U.S. Patent Office.

If in 21 days from receipt of this letter, you have not returned the documents duly signed, we will consider that you refuse to sign.

Thanking you in advance for your cooperation, we remain

Yours faithfully,



**Philippe KOHN**  
European Patent Counsel

Encl. : Documents to be signed and PCT publication

**Philippe KOHN**  
Ingénieur Arts et Métiers  
Conseil en PI  
European Patent  
and Trademark Attorney

**Jean-Baptiste DELAHAYE**  
Ingénieur Arts et Métiers  
Conseil en PI

**Damien BEAUJEAULT**  
Ingénieur ENSAIS  
Diplômé du CEIPI

**Franck-Olivier MARTIN**  
Docteur en Mécanique  
Diplômé du CEIPI

**David THIBAudeau**  
Ingénieur-Maitre ISTIA  
Diplômé du CEIPI  
European Patent Attorney

**Philippe JULLIEN**  
Ingénieur ESME  
Conseil en PI  
European TM Attorney

**Ahlem KARRAY**  
Ingénieur FIUPSO

**Nicolas POTIRON**  
Ingénieur-Maitre ISTIA

Cadres réservés à La Poste

CRBT	Prix	Date de dépôt
------	------	---------------

Étiquette entière à détacher et à coller par le guichetier au recto de l'envoi Recommandé International :

LA POSTE  
VEUILLEZ SCANNER  
PLEASE SCAN**R**

ROISSY C.I. FRANCE

PRIO

RK 21 666 344 0 FR

REMISE CONTRE SIGNATURE  
SIGNATURE REQUIRED

RK 21 666 344 0 FR



RK 21 666 344 0 FR



RECOMMANDÉ / REGISTERED

Destinataire :

HEALD Steve

19 Harcourt Drive

Localité : Canterbury CT2 8DP

Pays (en français) : Royaume-Uni

Expéditeur :

CABINET PHILIPPE KOHN

30, rue Hoche

F-93500 Pantin

Tél. 01.41.71.00.10

Fax 01.41.71.01.17

N'ôtez pas les bandes blanches

LA POSTE 

FRANCE

- ☐ AVIS DE RÉCEPTION D'UN ENVOI INTERNATIONAL  
☐ AVIS DE PAIEMENT / INSCRIPTION D'UN MANDAT SUR UN GCP

Bureau de dépôt

Date de dépôt

Destinataire de l'envoi (nom, adresse, pays de destination) :

HEALD Steve - 19 Harcourt Drive -  
Canterbury CT2 8DP - Royaume-Uni

A remplir par l'expéditeur

Service courrier

- ☐ envoi standard ☐ colis postal ☐ livre ☐ sac M  
☒ PRIORITAIRE ☐ ÉCONOMIQUE  
☒ n° de l'envoi ☐ valeur déclarée  
montant :

Services financiers

☐ mandat n°

montant :

A compléter à destination

L'envoi mentionné ci-dessus a été dûment :

- ☐ remis ☐ payé ☐ inscrit sur GCP

Date et signature \*

\* Cet avis pourra être signé par le destinataire ou, si les règlements du pays de destination le prévoient, par une autre personne autorisée ou par l'agent du bureau de destination.

CN 07

Service des Postes  
PRIORITAIRE / PAR AVIONTimbre du bureau  
renvoyant l'avis

Renvoyer à

Nom ou raison sociale

CABINET PHILIPPE KOHN

Rue et n°

30, rue Hoche

F-93500 Pantin

Code postal et ville

Tél. 01.41.71.00.10

Fax 01.41.71.01.17

FRANCE

A remplir par l'expéditeur

Avis à coller par le guichetier

608553 B - CN07 - V1 - 9923147470018500 - D17 - 908553

POST OFFICE – FILING SHEET OF AN INTERNATIONAL REGISTERED MAIL 517

Frame for the Post Office

CRBT

Price

Filing date

(Stamp)

HEALD Steve

19 Harcourt Drive

Town : Canterbury CT2 8DP

Country (in French) : United Kingdom

Label to tear off and stick by the officer on the front  
of the International Registered Mail

Sender

(Stamp of CABINET PHILIPPE  
KOHN

30 RUE HOCHÉ

F-93500 PANTIN

Tel. 01 41 71 00 10

Fax 01 41 71 01 17)

RK 21 666 344 0 FR

POST OFFICE  
FRANCE

acknowledgement receipt of an international mail  
acknowledgement payment/registration of a MANDAT on CCP

Post office  
Priority/airmail

Filing office

Filing Date

Stamp of the office  
Sending back the advice

Addressee of the mail (name, address, country)

HEALD Steve – 19 Harcourt Drive –

Canterbury CT2 8DP – United-Kingdom

To be filled by the sender

Mail Service

to send back to

Standard mail

postal parcel

book

M bag

Priority

economical

no. of the mail

declared value

amount

(STAMP OF CABINET  
PHILIPPE KOHN)

Financial service

Money order no.

amount :

To be completed when arrived

Remitted

paid

registered on CCP

Date and signature

This advice might be signed by the addressee or, if the receiving country rules  
Authorize it, by another authorized person or by the agent of the receiving office

C A B I N E T  
**Philippe KOHN**  
Conseils en Propriété Industrielle  
Brevets, Marques, Dessins & Modèles

30, Rue Hoche  
F-93500 PANTIN

☎ : 33 01 41 71 00 10

☎ : 33 01 41 71 01 17

✉ : kohn@compuserve.com

SELU de Conseils en Propriété Industrielle au capital de 40.000 Euro - APE 741A - RCS BOBIGNY B 402955058  
SIRET 402 955 058 00027 - Banque : Société Générale Paris- Cpte n°30003-03451-00020060259-42 - TVA FR67402955058

BY REGISTERED MAIL

Pantin, June 16, 2006

**Mr. MYERS Steve**  
18 Christchurch  
Ashford, Kent TN237XB  
United-Kingdom

**O. REF. : B-1550-US - PK/LSC**

**Subject :** National phase in U.S.A. of PCT/GB2004/001394

Dear Sir,

We are the representatives of SAF-ISIS, a French company who has acquired the above-mentioned patent application from ZYLEPSIS and who has decided to enter into national phase in the U.S.A.

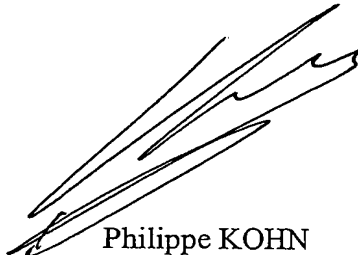
You are one of the inventors designated in this patent application. We have already obtained the signature of Mr. Robbins and Mr. Hill.

We would appreciate your dating and signing the attached declaration and assignment and then returning them to our office in order to have these documents filed with the U.S. Patent Office.

If in 21 days from receipt of this letter, you have not returned the documents duly signed, we will consider that you refuse to sign.

Thanking you in advance for your cooperation, we remain

Yours faithfully,



Philippe KOHN  
European Patent Counsel

Encl. : Documents to be signed and PCT publication

**Philippe KOHN**  
Ingénieur Arts et Métiers  
Conseil en PI  
European Patent  
and Trademark Attorney

**Jean-Baptiste DELAHAYE**  
Ingénieur Arts et Métiers  
Conseil en PI

**Damien BEAUJEAULT**  
Ingénieur ENSAIS  
Diplômé du CEIPI

**Franck-Olivier MARTIN**  
Docteur en Mécanique  
Diplômé du CEIPI

**David THIBAudeau**  
Ingénieur-Maitre ISTIA  
Diplômé du CEIPI  
European Patent Attorney

**Philippe JULLIEN**  
Ingénieur ESME  
Conseil en PI  
European TM Attorney


**Ahlem KARRAY**  
Ingénieur FIUPSO

**Nicolas POTIRON**  
Ingénieur-Maitre ISTIA

Cadres réservés à La Poste

CRBT	Prix	Date de dépôt
------	------	---------------

Étiquette entière à détacher et à coller par le guichetier au recto de l'envoi Recommandé International :

LA POSTE 

VEUILLEZ SCANNER  
PLEASE SCAN

**R**


REMISE CONTRE SIGNATURE  
SIGNATURE REQUIRED

ROISSY C.I. FRANCE

RK 21 666 345 3 FR


PRIO

RK 21 666 345 3 FR




RK 21 666 345 3 FR


RK 21 666 345 3 FR




RK 21 666 345 3 FR



Scan



RECOMMANDÉ / REGISTERED



Signature

Destinataire :

MYERS Steve  
18 Christchurch


Localité : Ashford Kent TN237XB

Pays (en français) : Royaume Uni

Expéditeur :

**CABINET PHILIPPE KOHN**  
30, rue Hoche  
F-93500 Pantin  
Tél. 01.41.71.00.10  
Fax 01.41.71.01.17

N'ôtez pas les bandes blanches

LA POSTE  ☐ AVIS DE RÉCEPTION D'UN ENVOI INTERNATIONAL  
**FRANCE** ☐ AVIS DE PAIEMENT / INSCRIPTION D'UN MANDAT SUR UN CCP

**CN 07**  
Service des Postes  
PRIORITAIRE / PAR AVION

Bureau de dépôt : \_\_\_\_\_ Date de dépôt : \_\_\_\_\_

Destinataire de l'envoi (nom, adresse, pays de destination) :  
MYERS Steve - 18 Christchurch  
Ashford Kent TN237XB - Royaume Uni  
A remplir par l'expéditeur

Service courrier

☐ envoi standard ☐ colis postal ☐ livre ☐ sac M

☒ PRIORITAIRE ☐ ÉCONOMIQUE

☒ n° de l'envoi ☐ valeur déclarée  
montant : \_\_\_\_\_

Services financiers

☐ mandat n° \_\_\_\_\_ montant : \_\_\_\_\_

A compléter à destination

L'envoi mentionné ci-dessus a été dûment :

☐ remis ☐ payé ☐ inscrit sur CCP

Date et signature : \_\_\_\_\_

\* Cet avis pourra être signé par le destinataire ou, si les règlements du pays de destination le prévoient, par une autre personne autorisée ou par l'agent du bureau de destination.

Renvoyer à

Nom ou raison sociale  
**CABINET PHILIPPE KOHN**

Rue et n° **30, rue Hoche**  
**F-93500 Pantin**

Code postal **Tél. 01.41.71.00.10**  
**Fax 01.41.71.01.17**

**FRANCE**

608533 B - CN07 - V1 - 9923147470012500 - D17 - 902533

Avis à coller par le guichetier

# POST OFFICE – FILING SHEET OF AN INTERNATIONAL REGISTERED MAIL 517

Frame for the Post Office

CRBT

Price

Filing date

(Stamp)

MYERS Steve

18 Christchurch

Town : Ashford Kent TN237XB

Country (in French) : United Kingdom

Label to tear off and stick by the officer on the front  
of the International Registered Mail

Sender

(Stamp of CABINET PHILIPPE  
KOHN)

30 RUE HOCHE

F-93500 PANTIN

Tel. 01 41 71 00 10

Fax 01 41 71 01 17)

RK 21 666 345 3 FR

POST OFFICE  
FRANCE

acknowledgement receipt of an international mail  
acknowledgement payment/registration of a MANDAT on CCP

Post office Dept.  
Priority/airmail  
Stamp of the office  
Sending back the advice

Filing office

Filing Date

Addressee of the mail (name, address, country)

MYERS Steve – 18 Christchurch –

Ashford – Kent TN237XB – United-Kingdom

To be filled by the sender

Mail Service

to send back to

Standard mail  
Priority  
no. of the mail

postal parcel  
economical  
declared value  
amount

book

M bag

(STAMP OF CABINET  
PHILIPPE KOHN)

Financial service

Money order no.

amount :

To be completed when arrived

Remitted

paid

registered on CCP

Date and signature

This advice might be signed by the addressee or, if the receiving country rules  
Authorize it, by another authorized person or by the agent of the receiving office

C A B I N E T  
**Philippe KOHN**  
Conseils en Propriété Industrielle  
Brevets, Marques, Dessins & Modèles

30, Rue Hoche  
F-93500 PANTIN

☎ : 33 01 41 71 00 10  
☎ : 33 01 41 71 01 17  
✉ : kohn@compuserve.com

SELU de Conseils en Propriété Industrielle au capital de 40.000 Euro - APE 741A - RCS BOBIGNY B 402955058  
SIRET 402 955 058 00027 - Banque : Société Générale Paris- Cpte n°30003-03451-00020060259-42 - TVA FR67402955058

BY REGISTERED MAIL

Pantin, June 16, 2006

**Mr. WALFORD Tim**  
35 Parkway  
Dorking, Surrey  
United-Kingdom

O. REF. : B-1550-US - PK/LSC

**Subject :** National phase in U.S.A. of PCT/GB2004/001394

Dear Sir,

We are the representatives of SAF-ISIS, a French company who has acquired the above-mentioned patent application from ZYLEPSIS and who has decided to enter into national phase in the U.S.A.

You are one of the inventors designated in this patent application. We have already obtained the signature of Mr. Robbins and Mr. Hill.

We would appreciate your dating and signing the attached declaration and assignment and then returning them to our office in order to have these documents filed with the U.S. Patent Office.

If in 21 days from receipt of this letter, you have not returned the documents duly signed, we will consider that you refuse to sign.

Thanking you in advance for your cooperation, we remain

Yours faithfully,



Philippe KOHN  
European Patent Counsel

Encl. : Documents to be signed and PCT publication

**Philippe KOHN**  
Ingénieur Arts et Métiers  
Conseil en PI  
European Patent  
and Trademark Attorney

**Jean-Baptiste DELAHAYE**  
Ingénieur Arts et Métiers  
Conseil en PI

**Damien BEAUJEAULT**  
Ingénieur ENSAIS  
Diplômé du CEIPI

**Franck-Olivier MARTIN**  
Docteur en Mécanique  
Diplômé du CEIPI

**David THIBAudeau**  
Ingénieur-Maitre ISTIA  
Diplômé du CEIPI  
European Patent Attorney

**Philippe JULLIEN**  
Ingénieur ESME  
Conseil en PI  
European TM Attorney

**Ahlem KARRAY**  
Ingénieur FIUPSO


**Nicolas POTIRON**  
Ingénieur-Maitre ISTIA



Cadres réservés à La Poste

CRBT	Prix	Date de dépôt
------	------	---------------

Étiquette entière à détacher et à coller par le guichetier au recto de l'envoi Recommandé International :

LA POSTE  **R** ROISSY C.I. FRANCE **PRIO**

VEUILLEZ SCANNER  
PLEASE SCAN

**R**

RK 21 666 346 7 FR

RK 21 666 346 7 FR

RK 21 666 346 7 FR

RK 21 666 346 7 FR

REMISE CONTRE SIGNATURE  
SIGNATURE REQUIRED

Scan

RECOMMANDÉ / REGISTERED

Signature

Destinataire :

WALFORD Tim

35 Parkway

Localité : Dorking - Surrey

Pays (en français) : Royaume-Uni

Expéditeur :

CABINET PHILIPPE KOHN


30, rue Hoche

F-93500 Pantin

Tél. 01.41.71.00.10

Fax 01.41.71.01.17

N'ôter pas les bandes blanches

LA POSTE  **FRANCE**

☐ AVIS DE RÉCEPTION D'UN ENVOI INTERNATIONAL

☐ AVIS DE PAIEMENT / INSCRIPTION D'UN MANDAT SUR UN CCP

Bureau de dépôt : \_\_\_\_\_ Date de dépôt : \_\_\_\_\_

Destinataire de l'envoi (nom, adresse, pays de destination) :  
WALFORD Tim - 35 Parkway -  
Dorking - Surrey - Royaume-Uni

A remplir par l'expéditeur

Service courrier

☐ envoi standard ☐ colis postal ☐ livre ☐ sac M

☒ PRIORITAIRE ☐ ÉCONOMIQUE

☒ n° de l'envoi ☐ valeur déclarée  
montant : \_\_\_\_\_

Services financiers

☐ mandat n° \_\_\_\_\_ montant : \_\_\_\_\_

A compléter à destination

L'envoi mentionné ci-dessus a été dûment :

☐ remis ☐ payé ☐ inscrit sur CCP

Date et signature \*

\* Cet avis pourra être signé par le destinataire ou, si les règlements du pays de destination le prévoient, par une autre personne autorisée ou par l'agent du bureau de destination.

CN 07

Service des Postes  
PRIORITAIRE / PAR AVIONTimbre du bureau  
renvoyant l'avis

Renvoyer à

Nom ou raison sociale

CABINET PHILIPPE KOHN

Rue et n°

30, rue Hoche

F-93500 Pantin

Code postal et localité

Tél. 01.41.71.00.10

Fax 01.41.71.01.17

FRANCE

A remplir par l'expéditeur

608533 B - CN07 - V1 - 9923147470012500 - D17 - 902533

Avis à coller par le guichetier

## TRANSLATION OF DOCUMENTS OF REGISTERED MAIL

POST OFFICE – FILING SHEET OF AN INTERNATIONAL REGISTERED MAIL 517

Frame for the Post Office

CRBT

Price

Filing date

(Stamp)

WALFORD Tim

35 Park Way

Town : Dorking – Surrey

Country (in French) : United Kingdom

Label to tear off and stick by the officer on the front  
of the International Registered Mail

Sender

(LABEL)

(Stamp of CABINET PHILIPPE  
KOHN)

RK 21 666 346 7 FR

POST OFFICE  
FRANCE

acknowledgement receipt of an international mail  
acknowledgement payment/registration of a money order on CCP

Post office Dept.  
Priority/airmail  
Stamp of the office  
Sending back the advice

Filing office

Filing Date

Addressee of the mail (name, address, country)

WALFOR TIM – 35 Parkway –

Dorking – Surrey – United-Kingdom

To be filled by the sender

Mail Service

to send back to

Standard mail

Priority

no. of the mail

postal parcel

economical

declared value  
amount

book

M bag

(STAMP OF CABINET  
PHILIPPE KOHN)

Financial service

Money order no.

amount :

To be completed when arrived

Remitted

paid

registered on CCP

Date and signature

This advice might be signed by the addressee or, if the receiving country rules  
authorize it, by another authorized person or by the agent of the receiving office

LA POSTE



Direction du Courrier  
Service Client Courrier Entreprise

CABINET KOHN

21 JUIL. 2006

RECU / RECEIVED

CABINET PHILIPPE KOHN  
Madame Laurette SAINT-CRICQ  
30 RUE HOCHÉ  
93500 PANTIN

Votre Conseillère : Marie Annick TRIESTE

☎ : 36 34 Choix 3

0,225 € TTC à partir d'un téléphone fixe

Fax : 01 64 73 66 43

Réf dossier : **RE1-107911711**

Réf client : 181292

Champs Sur Marne, le 20 juillet 2006

Madame,

Le 11 juillet 2006, vous avez signalé au Service Client de La Poste la non réception de votre courrier 'COURRIER INTERNATIONAL' référencé RK216663467FR destiné à : Monsieur TIM WALFORD DORKING-SURREY ROYAUME-UNI.

Sans attendre, j'ai interrogé les services concernés par l'acheminement et la distribution de votre envoi.

Je tiens à vous assurer que le Service Client Courrier met tout en œuvre pour répondre au plus vite à votre demande et vous informerai du résultat de mes démarches au plus **tard le 9 octobre 2006.**

Dans cette attente, je vous prie d'agréer, Madame, l'assurance de ma considération distinguée.

**Marie Annick TRIESTE**

Service Client Courrier Entreprise

POST OFFICE  
Direction of mail  
Department of Client Companies Mail

CABINET PHILIPPE KOHN  
Mrs. Laurette SAINT-CRICQ  
30 rue Hoche  
93500 Pantin

Champs sur Marne, on July 20, 2006

Your counsellor : Marie Annick TRIESTE  
36 34 DIAL 3  
0,225 € TTC from a home telephone  
Fax 01 64 73 66 43  
File ref : RE1-107911711  
Client ref : 181292

Madam,

On July 20 2006 you have informed the Client Department of the Post Office about the non receipt of your mail "INTERNATIONAL COURIER 3018" referenced RK216663453FR sent to TIM WALFORD DORKING-SURREY UNITED-KINGDOM.

Without delay I have questioned the concerned departments of sending and distributing your mail.

I assure that the Client Companies Mail Department is doing its best for replying as quickly as possible to your request and I will inform you of the results of our investigations at the latest on October 9, 2006.

In the meantime, we remain,  
Yours faithfully,

Marie Annick TRIESTE  
Department of Client Companies Mail

LA POSTE



Direction du Courrier  
Service Client Courrier Entreprise

CABINET PHILIPPE KOHN  
Madame Laurette SAINT-CRICQ  
30 RUE HOCHÉ  
93500 PANTIN

Votre Conseillère : Marie Annick TRIESTE

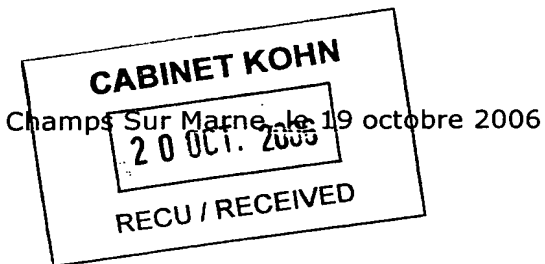
☎ : 36 34 Choix 3

0,225 € TTC à partir d'un téléphone fixe

Fax : 08 20 80 80 01

Réf dossier : **RE1-107911711**

Réf client : 181292



Madame,

Le 11 juillet 2006, vous avez signalé au Service Client de La Poste la non réception de votre courrier 'COURRIER INTERNATIONAL 3018' référencé RK216663467FR destiné à : Monsieur TIM WALFORD DORKING-SURREY ROYAUME-UNI.

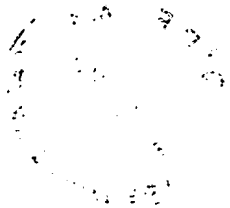
J'ai le plaisir de vous informer que votre envoi **a été distribué le 21 juin 2006.**

Je vous remercie de la confiance que vous accordez à La Poste.

Je vous prie d'agréer, Madame, l'assurance de ma considération distinguée.

Marie Annick TRIESTE  
Service Client Courrier Entreprise

POST OFFICE  
Direction of mail  
Department of Client Companies Mail



CABINET PHILIPPE KOHN  
Mrs. Laurette SAINT-CRICQ  
30 rue Hoche  
93500 Pantin

Champs sur Marne, on October 19,  
2006

Your counsellor : Marie Annick TRIESTE  
36 34 DIAL 3  
0,225 € TTC from a home telephone  
Fax 08 20 80 80 01  
File ref : RE1-107911711  
Client ref : 181292

Madam,

On July 11 2006 you have informed the Client Department of the Post Office about the non receipt of your mail "INTERNATIONAL COURIER 3018" referenced RK216663467FR sent to Mr. TIM WALFORD DORKING-SURREY UNITED-KINGDOM.

I am pleased to inform you that your mail was distributed on June 21, 2006.

I thank you for entrusting the Post Office.

Yours faithfully,

Marie Annick TRIESTE  
Department of Client Companies Mail